W.K. Richardson 1859-1951

FISH & RICHARDSON P.C.

4350 La Jolla Village Drive Suite 500 San Diego, California

92122

Telephone

Facsimile 858 678-509

Web Site www.fr.com



June 30, 2000

Attorney Docket No.: 10559/229001/P8794

Box Patent Application

Commissioner for Patents Washington, DC 20231

Presented for filing is a new original patent application of:

Applicant: ANAND RANGARAIAN, SANJAY BAKSHI AND SATYENDRA

YADAV

Title: FORWARDING DATA IN A ROUTING ARCHITECTURE

Enclosed are the following papers, including those required to receive a filing date under 37 CFR 1.53(b):

Pages Specification Claims 6 Abstract

[To be Filed at a Later Date] Declaration

Drawing(s)

Enclosures:

- Postcard.

There are 23 total claims, 3 of which are independent.

Basic filing fee	\$0
Total claims in excess of 20 times \$18	\$0
Independent claims in excess of 3 times \$78	\$0
Fee for multiple dependent claims	\$0
Total filing fee:	\$0

CERTIFICATE OF MAILING BY EXPRESS MAIL

Express Mail Label No. EL558600470US

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addressee with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

June 30, 2000

Date of Deposit

Signature

Derek W. Norwood

Typed or Printed Name of Person Signing Certificate

BOSTON DALLAS DELAWARE NEW YORK SAN DIEGO SILICON VALLEY TWIN CITIES WASHINGTON, DC The first fact from the fact for the fact for the fact from the fact fro

FISH & RICHARDSON P.C.

Commissioner for Patents June 30, 2000 Page 2

No filing fee is being paid at this time. Please apply any other required fees, **EXCEPT FOR THE FILING FEE**, to deposit account 06-1050, referencing the attorney document number shown above. A duplicate copy of this transmittal letter is attached.

If this application is found to be incomplete, or if a telephone conference would otherwise be helpful, please call the undersigned at (858) 678-5070.

Kindly acknowledge receipt of this application by returning the enclosed postcard.

Please send all correspondence to:

SCOTT C. HARRIS Fish & Richardson P.C. Customer Number: 20985 4350 La Jolla Village Drive, Suite 500 San Diego, CA 92122

Respectfully submitted,

Scott C. Harris Reg. No. 32,030 Enclosures SCH/rpi 10041758.doc

APPLICATION

FOR

UNITED STATES LETTERS PATENT

TITLE:

FORWARDING DATA IN A ROUTING ARCHITECTURE

APPLICANT:

ANAND RANGARAIAN, SANJAY BAKSHI AND

SATYENDRA YADAV

CERTIFICATE OF MAILING BY EXPRESS MAIL

Express Mail Label No. EL558600470US

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addressee with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

June 30, 2000

Date of Deposit

Signature

Derek W. Norwood

Typed or Printed Name of Person Signing Certificate

5

FORWARDING DATA IN A ROUTING ARCHITECTURE

BACKGROUND

The invention relates to data forwarding in a routing architecture.

A typical router has to process both packets that need to be forwarded and control packets to compute routing table. When a router forwards data, it looks up a destination IP (internet protocol) address in a routing table. The route lookup is a time consuming process and limits the performance of the single-box component. Other forwarding operations that limit the performance include validating a header checksum, decrementing a time-to-live, and recalculating and updating the header checksum. Checksum is a sum of a group of data items used for error checking. Time-to-live refers to time before a packet of data is deleted or discarded.

DESCRIPTION OF DRAWINGS

- FIG. 1 illustrates a routing architecture according to an implementation of the invention.
- FIG. 2 illustrates packet processing in a routing architecture according to an implementation of the invention.

DETAILED DESCRIPTION

An implementation of the invention may use a set of modularized routers and switches. In the modularized components, the network device control and management functions are separated from the packet forwarding functions.

A control component performs the control packet processing and a forwarding component performs the packet forwarding functions. Such modularized components provide flexible deployment in a network architecture.

5

10

and the first has held him held man

the first and the first that the

20

25

The implementation of a routing architecture described below has multiple packet forwarding components. If each forwarding component were to perform a route lookup and other associated operations such as decrementing the time-to-live field, the use of resources would limit the performance of the routing architecture. Implementations of the invention reduce the number of route lookups and associated operations when a packet is forwarded through multiple packet forwarding components.

FIG. 1 shows a networking router architecture 100 with modularized components for flexible deployment of services. The router architecture 100 demonstrates a use of modularized components where the control and management functions of network devices are separated from the packet forwarding functions. A control component 200 for controlling and managing devices is connected to a first forwarding component 300. The first forwarding component 300, a second forwarding component 400, and a third forwarding component 500 are interconnected through Ethernet 800. As the name implies, the forwarding components 300, 400, and 500 function to forward information to an intended destination, such as a host moon 600. The architecture 100 shows the three forwarding components but there could be more or fewer, depending on the

25

5

10

topology of the destination in reference to a sender and a receiver of the information.

An implementation minimizes router lookups and other associated operations by using a mechanism that is called ARP (Address Resolution Protocol)-forwarding. There are three main aspects to ARP forwarding: routing table calculation, layer-2 forwarding, and proxy-ARP. These are explained as follows.

The control component 200 can calculate the routing table and alter the routing table for each packet forwarding component based on whether an egress-port is present in the forwarding component. An egress-port is defined as a port through which a packet leaves a router architecture for a destination. For example, for the architecture 100 as shown in FIG. 1, if the destination is the host moon 600, the egress-port would be an egress port 550 located in the third forwarding component 500. If the egress port is present in the particular forwarding component, no change is made to the If the egress-port is not present in the forwarding component, the control component 200 can change the table so that the ``egress port'' designated in the routing table is changed to a component's port that begins the best path to the This port is called a proxy-egress-port. For egress-port. example, a proxy-egress-port would be a port 350 located in the first forwarding component 300 because the egress-port is located not in the component 300 but in the third forwarding component 500. The best path would be calculated from the way

20

25

5

10

the packet forwarding components are interconnected called topology information maintained in the control component 200.

The forwarding components can forward requests and packets on layer-2 through internal ports of intermediate forwarding components, for instance, the intermediate component 400. Layer-2 refers to the second layer of a standard software layering architecture model (i.e. Open Systems Interconnection) where rules and protocols are compatible within the layer. An internal port in a forwarding component is a port that connects the forwarding component to another forwarding component within the same routing architecture. Internal ports 440 and 450 are located, for example, in the second forwarding component 400 in FIG. 1.

Proxy ARP is usually used for dial-up hosts. A remote access server serving a dial-up host responds to an ARP request meant for the dial-up host with the server's own MAC address. The MAC address is used to identify devices at the Media Access Control layer in a network architecture. In ARP-forwarding used in the implementation, an egress-component, defined as a component through which a packet leaves the networking router architecture, responds to an ARP-request coming in its internal port for a host or another device connected to one of egress-component's egress ports, by generating a proxy-ARP-reply with the egress-component's MAC address. An ingress component is a component through which a packet enters the networking router architecture. The egress-

25

5

10

component may communicate with the host on a directly connected line which may not support Ethernet.

ARP forwarding can function to minimize lookups as suggested in the following example: A packet enters a router architecture at an ingress-component, which can validate and modify at layer-3 a header of the packet and performs a route lookup to determine where the next destination and the egress-The ingress-component broadcasts an ARP request for the hardware address of the destination or the next hop device of the egress-port. If the egress-port is present in the ingress component, the ARP-request is sent to the egress-port. The packet is sent to the destination when an ARP-reply comes But if the egress-port is not in the ingress component, the ARP-request is sent through the ingress-component's proxy-The intermediate forwarding components forward the request at layer-2 to enable the request to reach an egress-component with the egress-port. Since the request is a broadcast, it is forwarded from all ports except the one at which it entered.

Topology information of the forwarding components contained in a control component can be used to eliminate unnecessary broadcasts of the ARP request in multiple ports. After receiving the request, the egress-component replies with the MAC address of the egress-component. This ARP-reply is also forwarded at layer-2 by the intermediate components to the ingress-component. For the egress-component to know where to send back the ARP-reply, the ingress-component fills the

20

25

5

10

source IP field of the ARP request with the IP address of one of the non-proxy-egress ports if the proxy-egress-port does not have an IP address. Once the ARP-reply is received, the ingress-component encapsulates the original data packet with the egress-component's MAC address and transmits the packet through its proxy-egress-port. The intermediate components forward this packet also at layer-2 to the egress-component. The egress-component then routes the packet to the destination.

In the implementation described, the internal ports of the intermediate components perform a data link relay or, in other words, a bridging function. Furthermore, the intermediate components can act as transparent bridges, automatically and independently forwarding packets to bridge the ingress-component and the egress-component.

The above mechanism of ARP forwarding applied to the networking router architecture 100 is illustrated in FIG. 2. Assume that a packet originating from a host sun 700 is destined for the host moon 600. When a packet enters the router architecture 100 from the host sun 700 through an ingress-port 340 in phase 1, the first forwarding component 300 (the ingress-component) validates and modifies the layer-3 header of the packet and performs a route lookup by using its routing table 360 to determine the next destination and an egress-port. A port in the first forwarding component 300 is determined to be a proxy-egress port 350 and not an egress-port from which the packet can leave the architecture 100 for

20

25

5

10

the destination. In phase 2, the first forwarding component 300 broadcasts an ARP-request, which reaches the second forwarding component 400 through the proxy-egress-port 350. The request is a broadcast, and therefore, it is forwarded from all ports except where it came in. In phase 3, the second forwarding component 400 forwards the ARP request to the third forwarding component 500 (the egress-component) at layer-2. The second forwarding component 400 can act as a transparent bridge that can forward packets independently without communicating to either the host 600 or the host 700. In phase 4, the third forwarding component 500 responds to the request by sending its own MAC address from an egress port 550 to the second forwarding component 400. The ARP-reply containing the MAC address is forwarded at layer-2 to the first forwarding component 300 in phase 5. In phase 6, the first forwarding component 300 completes the layer-2 encapsulation of the packet with the MAC address and transmits it through the proxy-egress-port 350. The second forwarding component 400 forwards the encapsulated packet at layer 2 to the third forwarding component 500 in phase 7. The third forwarding component 500 then routes the packet to the destination, the host moon 600, in phase 8.

In the above disclosure, the packet is `routed'' only at the first forwarding component and the third forwarding component and the route look up and other associated operations are performed only at the first and third forwarding components. The intermediate components act as

iii 15

5

transparent bridges to forward the packet on layer 2. Thus, an application of ARP-forwarding to multiple forwarding components can reduce the route lookups to increase performance of the networking router architecture 100.

Implementations of the invention can be applied without modifying the existing protocols within the system. Any forwarding components that support routing and transparent bridging can be used to perform ARP forwarding to minimize route lookups.

The invention can be implemented, for example, in a computer program executable on a machine. The computer program can be stored on a storage medium, such as random access memory (RAM), readable by a general or special purpose programmable machine, for routing packets.

Other implementations are within the scope of the following claims.

WHAT IS CLAIMED IS:

1. Apparatus comprising:

a first component configured to forward data based on a lookup in a routing table;

a second component configured to receive the data; and an intermediate component bridging the first component and the second component to forward the data in a manner that does not require a routing table lookup.

- 2. The apparatus of claim 1 comprising additional intermediate components bridging the first component and the second component to forward the data in a manner that does not require a routing table lookup.
- 3. The apparatus of claim 1 wherein the first component is configured to receive a packet from a first host and the second component is configured to deliver the packet to a second host.
- 4. The apparatus of claim 3 wherein the routing table used to set a path from the first component to the second component is computed by determining a port that leads to the second host.
- 5. The apparatus of claim 3 wherein the data comprises a request for an address to which to send the packet; the first component is configured to forward the request; the

intermediate component in the path is configured to forward the request to the second component without looking up the routing table; and the second component is configured to receive the request and to send its address back to the first component.

- 6. The apparatus of claim 1 wherein the data is part of a packet, and the first component is configured to encapsulate the packet with the address of the second component and to forward the encapsulated packet through the intermediate component to the second component.
- 7. The apparatus of claim 6 wherein the intermediate component act as a transparent bridge to forward the request and the packet.
- 8. The apparatus of claim 6 wherein the second component is configured to route the packet received through the intermediate component to a second host.
- 9. The apparatus of claim 1 wherein the first component, the intermediate component, and the second component are connected through a network medium.
- 10. The apparatus of claim 9 wherein the network medium comprises Ethernet.

11. The apparatus of claim 1 wherein the routing system is configured to support address resolution protocol.

12. A method comprising:

performing a lookup in a routing table to determine a path to send data from a sender to a receiver; and

forwarding the data, without performing a lookup in the routing table, through an intermediate component between a first component connected to the sender and a second component connected to the receiver.

13. The method of claim 12 further comprising:

forwarding the data without looking up the routing table through additional intermediate components between the first component and the second component.

14. The method of claim 12 further comprising: sending a packet from the sender to the first component; and

routing the data comprising a request for an address of the second component from the first component.

15. The method of claim 14 further comprising:

forwarding the request through the intermediate
components; and

sending a reply from the second component to the intermediate component; and

forwarding the reply from the intermediate component without looking up the routing table to the first component.

16. The method of claim 12 further comprising:

encapsulating the data comprising a packet with address information of the second component; and

forwarding the encapsulated packet to the second component through the intermediate component without looking up the routing table.

- 17. The method of claim 16 further comprising: routing the packet from the second component to the receiver.
- 18. An article comprising a machine-readable medium that stores machine-executable instructions for causing a machine to:

performs a look up in a routing table to determine a path to send data from a sender to a receiver; and

forward the data, without looking up the routing table, through an intermediate component between a first component connected to the sender and a second component connected to the receiver.

19. The article of claim 18 further causing the machine to:

forward the data, without looking up the routing table, through additional intermediate components between the first component and the second component.

20. The article of claim 18 further causing the machine to:

send a packet from the sender to the first component; and route the data comprising a request for an address of the second component from the first component.

21. The article of claim 20 further causing the machine to:

forward the request through the intermediate components; and

send a reply from the second component to the intermediate component; and

forward the reply from the intermediate component, without looking up the routing table, to the first component.

22. The article of claim 18 further causing the machine to:

encapsulate the data comprising a packet with address information of the second component; and

forward the encapsulated packet to the second component through the intermediate component without looking up the routing table.

23. The article of claim 22 further causing the machine to:

route the packet from the second component to the receiver.

ABSTRACT

An apparatus comprises a forwarding component configured to forward data by looking up a routing table, another forwarding component configured to receive the data, and an intermediate forwarding component bridging the two components to forward the data without looking up the routing table.

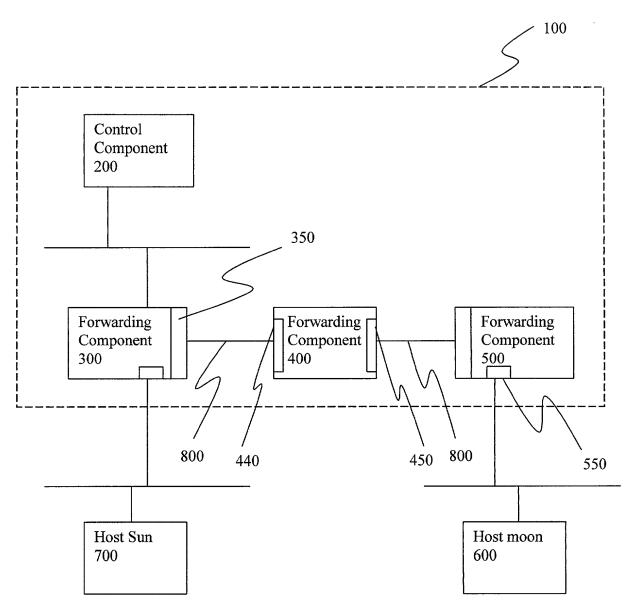


FIG. 1

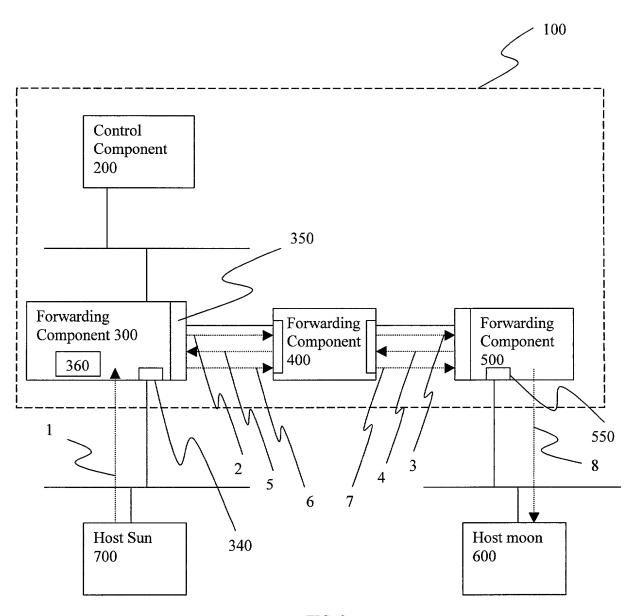


FIG. 2